SEC Form 4
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Instruction 1(b)

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> HAGEDORN ROBERT			2. Issuer Name and Ticker or Trading Symbol <u>SCOTTS MIRACLE-GRO CO</u> [ SMG ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner			
			—	Officer (give title Other (specify			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 06/15/2020	below) below)			
		1					
800 PORT	WASHINGTON I	BOULEVARD					
(Street) PORT WASHING		11050	<ul> <li>4. If Amendment, Date of Original Filed (Month/Day/Year)</li> </ul>	<ul> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ul>			
(City)	(State)	(Zip)					

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(	(11501-4)
Common Shares	06/15/2020		S <sup>(1)</sup>		15,600	D	\$130.58 <sup>(2)</sup>	2,141,164	Ι	See footnote <sup>(9)</sup>
Common Shares	06/15/2020		S <sup>(1)</sup>		25,621	D	\$131.34 <sup>(3)</sup>	2,115,542	Ι	See footnote <sup>(9)</sup>
Common Shares	06/15/2020		S <sup>(1)</sup>		1,218	D	<b>\$</b> 132.15 <sup>(4)</sup>	2,114,324	Ι	See footnote <sup>(9)</sup>
Common Shares	06/16/2020		S <sup>(1)</sup>		2,367	D	\$131.97 <sup>(5)</sup>	2,111,957	Ι	See footnote <sup>(9)</sup>
Common Shares	06/16/2020		S <sup>(1)</sup>		10,681	D	\$132.93 <sup>(6)</sup>	2,101,276	Ι	See footnote <sup>(9)</sup>
Common Shares	06/16/2020		S <sup>(1)</sup>		18,497	D	\$133.58 <sup>(7)</sup>	2,082,779	I	See footnote <sup>(9)</sup>
Common Shares	06/16/2020		S <sup>(1)</sup>		336	D	\$134.66 <sup>(8)</sup>	2,082,443	I	See footnote <sup>(9)</sup>

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 11. Nature 10 Derivative Conversion Date Execution Date Transaction Expiration Date Amount of Derivative derivative Ownership of Indirect (Month/Day/Year) Derivative (Month/Day/Year) Securities Security or Exercise Code (Instr. Security Securities Form: Beneficial (Month/Day/Year) Direct (D) (Instr. 3) Price of 8) Beneficially Securities Underlying (Instr. 5) Ownership Acquired (A) or Disposed Derivative Security Derivativ Owned Following or Indirect (I) (Instr. 4) (Instr. 4) Security (Instr. 3 and 4) Reported of (D) (Instr. 3, 4 Transaction(s) (Instr. 4) and 5) Amount Number Date Expiration of Code v (A) (D) Exercisable Date Title Shares

## Explanation of Responses:

1. The transactions reported in this Form 4 were made pursuant to a Rule 10b5-1 trading plan.

2. The transaction was executed in multiple trades at prices ranging from \$130.00 to \$130.99. The price above reflects the weighted average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.

4. The transaction was executed in multiple trades at prices ranging from \$132.00 to \$132.40. The price above reflects the weighted average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.

5. The transaction was executed in multiple trades at prices ranging from \$131.22 to \$132.19. The price above reflects the weighted average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.

6. The transaction was executed in multiple trades at prices ranging from \$132.22 to \$133.21. The price above reflects the weighted average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.

7. The transaction was executed in multiple trades at prices ranging from \$133.22 to \$134.20. The price above reflects the weighted average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.

8. The transaction was executed in multiple trades at prices ranging from \$134.28 to \$134.78. The price above reflects the weighted average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.

9. The common shares are directly held by the Hagedorn Partnership, L.P. (the "Partnership"). The Reporting Person is a general partner of the Partnership. The amount of shares reported as beneficially owned represent the aggregate proportionate interest in common shares held by the Partnership of the Reporting Person and those family members in whose holdings he may be deemed to have a pecuniary interest. The Reporting Person disclaims beneficial ownership of the common shares except to the extent of his pecuniary interest.

<sup>3.</sup> The transaction was executed in multiple trades at prices ranging from \$131.00 to \$131.98. The price above reflects the weighted average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.

/s/ Rob McMahon, Attorney-06/17/2020

in-Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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