SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Instruction 1	.(b).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 19		hours per response: 0.5			
			or Section 30(h) of the Investment Company Act of 1940					
	ldress of Reporting <u>RN KATHEF</u> <u>ELD</u>		2. Issuer Name and Ticker or Trading Symbol <u>SCOTTS MIRACLE-GRO CO</u> [SMG]	°,				o Issuer Owner er (specify
(Last) (First) (Middle) C/O HAGEDORN PARTNERSHIP, L.P.	3. Date of Earliest Transaction (Month/Day/Year) 06/22/2020		below)		belo			
800 PORT WASHINGTON BOULEVARD (Street) PORT WASHINGTON NY 11050			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	,			erson
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(1130.4)
Common Shares	06/22/2020		S ⁽¹⁾		297	D	\$130.13 ⁽²⁾	2,727,582	Ι	See footnote ⁽⁶⁾
Common Shares	06/23/2020		S ⁽¹⁾		235	D	\$131.56 ⁽³⁾	2,727,347	Ι	See footnote ⁽⁶⁾
Common Shares	06/23/2020		S ⁽¹⁾		1,075	D	\$132.2 ⁽⁴⁾	2,726,272	Ι	See footnote ⁽⁶⁾
Common Shares	06/23/2020		S ⁽¹⁾		621	D	\$133.01 ⁽⁵⁾	2,725,651	Ι	See footnote ⁽⁶⁾
Common Shares								5,980	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

								-				-			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Expiration Date Derivative (Month/Day/Year) Securities Acquired (A) or		xpiration Date Amount of		Amount of Security derivative Securities Junderlying (Instr. 5) Beneficially Owned Following 3 and 4) Reported		Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The transactions reported in this Form 4 were made pursuant to a Rule 10b5-1 trading plan.

2. The transaction was executed in multiple trades at prices ranging from \$130.00 to \$130.40. The price above reflects the weighted average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.

3. The transaction was executed in multiple trades at prices ranging from \$130.74 to \$131.73. The price above reflects the weighted average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.

4. The transaction was executed in multiple trades at prices ranging from \$131.75 to \$132.72. The price above reflects the weighted average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.

5. The transaction was executed in multiple trades at prices ranging from \$132.75 to \$133.36. The price above reflects the weighted average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.

6. The common shares are directly held by the Hagedorn Partnership, L.P. (the "Partnership"). The Reporting Person is a general partner of the Partnership. The amount of shares reported as beneficially owned represent the aggregate proportionate interest in common shares held by the Partnership of the Reporting Person. The Reporting Person disclaims beneficial ownership of the common shares except to the extent of her pecuniary interest.

Remarks:

/s/ Rob McMahon, Attorney-06/24/2020

<u>in-Fact</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.