SEC Form 4

FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL							
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to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).			Filed pursuant to Section 16(a) of the Securities Exchange Act of 193 or Section 30(h) of the Investment Company Act of 1940	4	Estimated average burden hours per response: 0.5		
	Address of Reporting <u>ORN SUSAN</u>	Person*	2. Issuer Name and Ticker or Trading Symbol <u>SCOTTS MIRACLE-GRO CO</u> [SMG]	5. Relationship of F (Check all applicab Director Officer (gi	X 10% Owner		
	(First) EDORN PARTNEI WASHINGTON E		3. Date of Earliest Transaction (Month/Day/Year) 06/15/2020	below)	below)		
(Street) PORT WASHING	TON NY	11050	 4. If Amendment, Date of Original Filed (Month/Day/Year) 	Line) X Form filed	nt/Group Filing (Check Applicable by One Reporting Person by More than One Reporting		
(City)	(State)	(Zip)					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(1130.4)	(1130.4)
Common Shares	06/15/2020		S ⁽¹⁾		7,919	D	\$130.58 ⁽²⁾	1,171,292	Ι	See footnote ⁽⁹⁾
Common Shares	06/15/2020		S ⁽¹⁾		13,006	D	\$131.34 ⁽³⁾	1,158,285	Ι	See footnote ⁽⁹⁾
Common Shares	06/15/2020		S ⁽¹⁾		618	D	\$ 132.15 ⁽⁴⁾	1,157,667	Ι	See footnote ⁽⁹⁾
Common Shares	06/16/2020		S ⁽¹⁾		1,202	D	\$131.97 ⁽⁵⁾	1,156,465	Ι	See footnote ⁽⁹⁾
Common Shares	06/16/2020		S ⁽¹⁾		5,423	D	\$132.93 ⁽⁶⁾	1,151,042	Ι	See footnote ⁽⁹⁾
Common Shares	06/16/2020		S ⁽¹⁾		9,391	D	\$133.58 ⁽⁷⁾	1,141,651	Ι	See footnote ⁽⁹⁾
Common Shares	06/16/2020		S ⁽¹⁾		170	D	\$134.66 ⁽⁸⁾	1,141,481	I	See footnote ⁽⁹⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 11. Nature 10. Derivative Conversion Date Execution Date Transaction Expiration Date Amount of Derivative derivative Ownership of Indirect or Exercise (Month/Day/Year) Code (Instr. Derivative (Month/Day/Year) Securities Form: Direct (D) Security Security Securities Beneficial (Month/Day/Year) (Instr. 3) Price of 8) Beneficially Securities Underlying (Instr. 5) Ownership Acquired (A) or Disposed Derivative Security Derivativ Owned Following or Indirect (I) (Instr. 4) (Instr. 4) Security (Instr. 3 and 4) Reported of (D) (Instr. 3, 4 Transaction(s) (Instr. 4) and 5) Amount Number Date Expiration of Code v (A) (D) Exercisable Date Title Shares

Explanation of Responses:

1. The transactions reported in this Form 4 were made pursuant to a Rule 10b5-1 trading plan.

2. The transaction was executed in multiple trades at prices ranging from \$130.00 to \$130.99. The price above reflects the weighted average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.

3. The transaction was executed in multiple trades at prices ranging from \$131.00 to \$131.98. The price above reflects the weighted average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.

4. The transaction was executed in multiple trades at prices ranging from \$132.00 to \$132.40. The price above reflects the weighted average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.

5. The transaction was executed in multiple trades at prices ranging from \$131.22 to \$132.19. The price above reflects the weighted average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer

6. The transaction was executed in multiple trades at prices ranging from \$132.22 to \$133.21. The price above reflects the weighted average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer

7. The transaction was executed in multiple trades at prices ranging from \$133.22 to \$134.20. The price above reflects the weighted average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer

8. The transaction was executed in multiple trades at prices ranging from \$134.28 to \$134.78. The price above reflects the weighted average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.

9. The common shares are directly held by the Hagedorn Partnership, L.P. (the "Partnership"). The Reporting Person is a general partner of the Partnership. The amount of shares reported as beneficially owned represent the aggregate proportionate interest in common shares held by the Partnership of the Reporting Person and those family members in whose holdings she may be deemed to have a pecuniary interest. The Reporting Person disclaims beneficial ownership of the common shares except to the extent of her pecuniary interest.

/s/ Rob McMahon, Attorney-06/17/2020

in-Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.